



## 2020 Notice of Privacy Policy

### What is the purpose of this Notice of Privacy Policy?

Trent Capital Management, Inc. (“TCMI”) is an investment adviser registered with the Securities and Exchange Commission under the Investment Advisers Act of 1940, as amended, (the “Advisers Act”). TCMI has adopted the following policies and procedures in order to comply with the SEC’s Privacy of Consumer Financial Information rule (commonly known as “Regulation S-P”), as adopted by the Texas State Securities Board. More specifically, we want you to know what type of information we collect about you and how we use that information.

### What information do we collect about you?

Information we receive from you on your application for advisory services and related forms.

Information about your advisory services and brokerage transactions with us, our affiliates and third parties not affiliated with us that are used by us to provide our advisory services to you.

### To whom do we disclose your nonpublic personal information?

Those companies you authorize us to use to provide advisory services to you, for example, the custodian for your account.

The companies we use to carry out the services you authorize us to provide, for example, brokers, our accountants, lawyers and the like.

When applicable, we disclose appropriate information to regulatory bodies (i.e. Securities and Exchange Commission) during routine examinations.

### What information do we disclose about you to these parties?

Information we receive on your application for services or client questionnaire, such as, your name, social security number, assets, and income.

Information about the transactions in your account.

### How do we safeguard your nonpublic personal information?

We restrict access to nonpublic personal information about you to those employees and service providers (like brokers and custodians) who need to know that information in order for us to carry out the advisory services that you have asked us to provide you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

### To whom do I direct any questions I may have about this Notice of Privacy Policy?

Direct your questions to David Trent at (501) 868-7772 or [dtrent@trentcap.com](mailto:dtrent@trentcap.com).